

Practitioner's Docket No.: 782\_232

IN THE UNITED STATES ELECTED OFFICE (EO/US)

U.S. Application No.: 10/540,989

International Application No.: PCT/JP2004/000002

International Filing Date: 05 January 2004 (05.01.04)

Earliest Priority Date Claimed: 6 January 2003 (06.01.03)

Title of Invention: ANTIPSYCHOTIC MOLECULAR-TARGETING EPIDERMAL GROWTH FACTOR RECEPTOR

Applicant(s) for EO/US: Hiroyuki NAWA and Makoto MIZUNO

Mail Stop PCT  
Attention: EO/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Mail Stop PCT, Attention: DO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 3, 2006 under "EXPRESS MAIL" mailing label number EV 743846432 US.

*Tara L. Preston*  
Tara L. Preston

COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION  
ENTERING NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US)  
UNDER 35 U.S.C. 371

Sir:

1. [x] This replies to the Notification of Missing Requirements mailed November 16, 2005. A copy of the Notification of Missing Requirements is enclosed.

2. Papers Enclosed

- [x] A combined Declaration and Power of Attorney
- [ ] A Preliminary Amendment
- [ ] An Assignment and Assignment Transmittal
- [ ] A Submission of Certified Copy of Priority Document
- [ ] An Information Disclosure Statement

3. Transmittal of English Translation of Non-English Language Papers

- [ ] Submitted herewith is an English translation of the non-English language international application papers *including drawings* as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

4. The filing fee has been calculated as shown below:

Basic National Fee				\$ 300.00
Search Fee				\$ 400.00
Examination Fee				\$ 200.00
Application Size Fee [ ] Fee for each unit of additional 50 sheets or fraction exceeding 100 (\$250.00/each unit)				
Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to a whole number)	Rate	
53 - 100 =	0/50 =	0	× \$250.00	
Surcharge fees (37 CFR §1.16(e)--\$130.00) [x] late payment of filing fee <i>and/or</i> [x] late filing of original declaration or oath				\$ 130.00
Claims				
Claims	Number Filed	Number Extra	Rate	
Total Claims	16 - 20 =	0	× \$ 50.00	
Independent Claims	3 - 3 =	0	× \$200.00	
Multiple Dependent Claim(s) (if applicable)			+ \$360.00	
Extension of Time a. [x] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. <b>OR</b> b. [ ] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR §1.17(a)(1)-(4), for the total number of months checked below: [ ] One Month (37 CFR 1.17(a)(1)) ..... \$ 120.00 [ ] Two Months (37 CFR 1.17(a)(2)) ..... \$ 450.00 [ ] Three Months (37 CFR 1.17(a)(3)) ..... \$1,020.00 [ ] Four Months (37 CFR 1.17(a)(4)) ..... \$1,590.00				
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,030.00
[x] Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced by 1/2.				
<b>SUBTOTAL =</b>				\$ 515.00
[ ] Processing fee of \$130.00 for furnishing the English translation later than 30 months after the priority date (37 CFR §1.492(f)).				
<b>TOTAL NATIONAL FEE =</b>				\$ 515.00
[ ] Assignment Fee (37 CFR §1.21(h)--\$40.00)				
<b>TOTAL FEES DUE =</b>				\$ 515.00

**5. Payment of Fees**

☒ Of the Total Fees Due, \$515.00 was paid at the time of filing.

☐ A check in the amount of \$ is enclosed.

☐ Charge Deposit Account 50-1446 in the amount of \$\_\_\_\_\_.

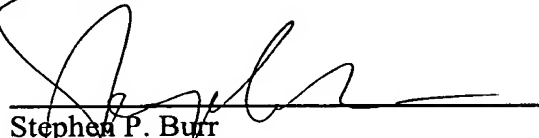
**6. Authorization to Charge Additional Fees or Credit Overpayment**

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1446 (a duplicate copy of this sheet is enclosed).

January 3, 2006

Date

Respectfully submitted,



Stephen P. Burr  
Reg. No. 32,970

SPB/tlp

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/540,989	Hiroyuki Nawa	782_232

25191  
 BURR & BROWN  
 PO BOX 7068  
 SYRACUSE, NY 13261-7068

INTERNATIONAL APPLICATION NO.	
PCT/JP04/00002	
I.A. FILING DATE	PRIORITY DATE
01/05/2004	01/06/2003

CONFIRMATION NO. 9569

371 FORMALITIES LETTER



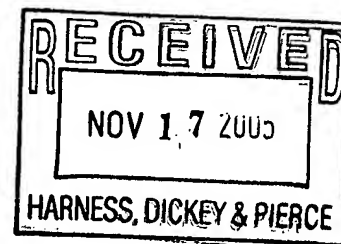
\*OC000000017456737\*

Date Mailed: 11/16/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/28/2005
- Copy of the International Search Report filed on 06/28/2005
- Copy of IPE Report filed on 06/28/2005
- Preliminary Amendments filed on 06/28/2005
- Small Entity Statement filed on 06/28/2005
- U.S. Basic National Fees filed on 06/28/2005
- Priority Documents filed on 06/28/2005



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,989	PCT/JP04/00002	782_232

FORM PCT/DO/EO/905 (371 Formalities Notice)